

**National Assessment &
Accreditation Council
(NAAC)**

Salient Features of NAAC Process

(As per Revised NAAC Manual : 21/12/2022)

AKS University, 2023

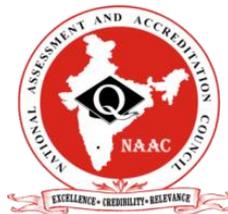


by

Professor B.A.Chopade

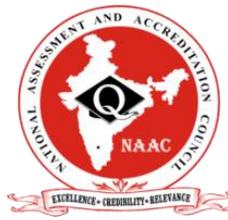
Vice – Chancellor

21/12/2022



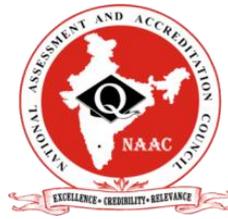
Why NAAC of University?.....

- To Meet **Standards of Excellence**.
- **Provide Identity** to University.
- **Quality Education, Research & Innovation**.
- To know its **Strength, Weaknesses, Challenges & Opportunities** through an Informed **Review Process**.
- **National and International Recognition**.
- **Develop Collaborations** with **Universities & Industries** and **Research Institutes** at National and International Level.



Why NAAC of University ?

- **Get Funding** from **Government** of **India** and **International Organizations**.
- Choice for Students for **Admissions** and **Financial Aids/ Scholarships**.
- Futuristic Planning for Development of University.
- **Provide New Direction** to University.
- **Contribute** to **National Development**.
- **Development Global Competencies** among Students and Faculty.



University's Basic Objectives

- **Creation of Knowledge**
- **Dissemination of Knowledge**
- **Preservation of Knowledge**
- **Quality Education = Outstanding Research & Innovation**
- **Outstanding Research and Innovation**



Why NAAC of University ?

NAAC
Precursor

National Recognition

- **NIRF**
- **ARIIA**
- **NBA**
- **SWACCH + Campus**

International Recognition

- **THE**
- **QS**
- **QS ASIA**
- **QS INDIA**
- **QS SDGs Ranking**
- **Green Metric Campus**



NAAC Process

1. **Submit Institutional Information for Quality Assessment (IIQA)**

Online (Any time)

- IIQA, Evaluated by NAAC



**Accepted
4-5 Days**

2. After Acceptance of IIQA, **Write Self Study Report (SSR) Required Documents** on Portal of NAAC Website within **45 days**.

- Subjected Processing of **SSR**.
- **Preparation** of **SSR** is a **Systematic Process**.

- Be **Ready** with **Soft Copy** of **SSR** & Related Documents well in Advance of Submission of IIQA.

NAAC Process

3. **SSR** has to be **Uploaded** as per format in portal of **NAAC**.
4. Receive **Auto Generated link/ ID** of SSR in registered email id.
5. Same **SSR** in **PDF Format** to be **Uploaded on Website** of **AKS**.
 - **SSR has to be Submitted Only Online.**

6. University should make **Necessary Preparations** with

- **Required Data**
- **Documents**
- **Responses**
- In **Depth Study** of the Manual will be of **Great Help**



**Before Logging on
NAAC Website for
Submission of SSR**

7. Go through **(SOP)** Available in Apply/Online Tab. In **NAAC Website Before Preparation of SSR.**

8. SSR Comprises of Both

- **Quantitative Metrics (Qnm) : 70%**
- **Quantitative Metrics (Qnm) : 30%**



NAAC Process

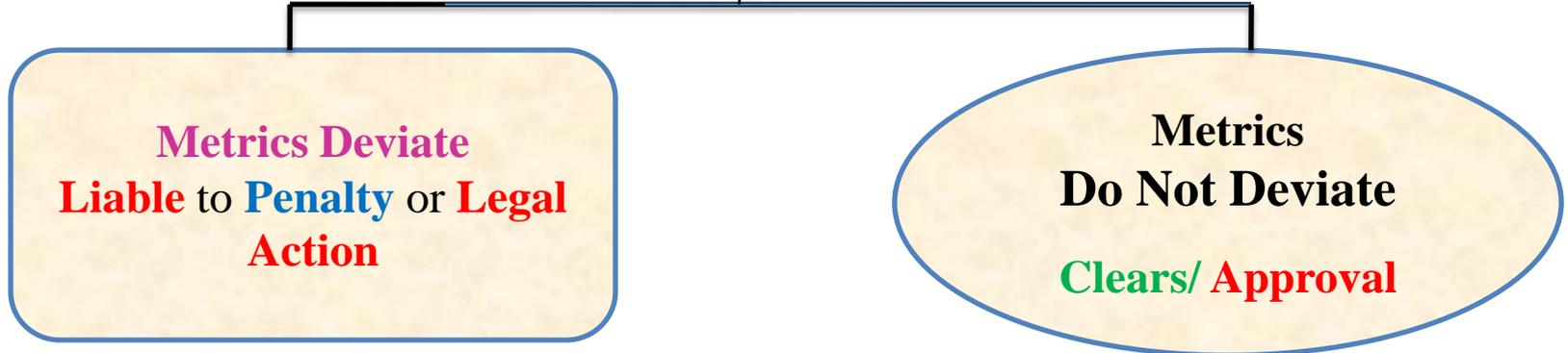
- 9. Data Submitted on Quantitative Metrics (QnM) : Subjected to Validation Exercise with the help of Data validation & verification (DVV) Process**
- 10. Response to Qualitative Metrics (QnM) Reviewed by Peer Team On Site @ University.**
- Providing wrong information/data during validation & verification : Asked for Clarifications.
 - On the basis of **Clarifications Submitted** by University: Data Again Reviewed for DVV Process.
 - **DVV by NAAC Done** → **Within 30 Days**

11. Pre-Qualifier Status:

The Quantitative Metrics (QnM) of SSR
Sent for Data Validation & Verification (DVV) Process

DVV Deviation Report Generated

AAC Process : Proceeds



Proceed to Peer Team Visit (PTR)

- Condition of Pre-Qualifier University should **Score at least 25% in Quantitative Metrics (QnM)**
- In Not Clear a Pre-Qualifier **Test Need To Apply A Fresh** by Submitting **IIQA** & Its Fees.
- **Apply Again** after **6 Months from the Day of Declaration** of the **Pre-Qualification.**

12 **After DVV Process, NAAC Will Intimate University About Status of : Pre- Qualification**

Only Pre-Qualified University Will Enter The Next Round of Assessment

To be Done by

**Peer Team Visit (PTV)
On-Site of University**

**PTR
Focus**

Qualitative Metrics (QnM)

13. Student Satisfaction Survey (SSS)

- a) **SSS** will be **Conducted Simultaneously** with **DVV**.
- b) University have to strictly **Upload Data** at least **50% Currently Enrolled Students** as per data template format in Excel sheet given in the **Portal**.
- c) **c)** The **SSS Questionnaire 20 Objective & 01 Subjective** will be **Emailed** to All Students & the following Rule will be applied for processing the Responses-
- For Universities **Responses** from **10 %** of **Student** or Population **or 500, whichever is Less**.
 - For colleges (**UG/PG** & autonomous) from at least 10% of student population or 100 responses received whichever is Less.
- d) If Response Rate is lower than the limits mentioned by **NAAC**, the metric will not be taken up for Evaluation.

- e) **SSS** will be Completed
f) Within **Month After** Its
Initiation.

14	<p>Peer Team Visit Of The University should Not Exceed 3 Months After Clearance of Pre. Qualification Stage.</p>
15.	<p>Based on size of University</p> <ul style="list-style-type: none"> • No. of Visit Days/ No. of Experts • On Site Visit Vary from • 2-3 Days / 2-5 Experts • Peer Team is limited to Qualitative Metrics (QnM) • Team Reviews The Intangible Aspects.
16	<p>NAAC will disclose the detail of Peer Team Members</p> <p>Only 3 Days Before.</p> <ul style="list-style-type: none"> • Logistics of NAAC Peer Team taken care by NAAC. • TA/DA/travel directly paid by NAAC to the nominated members.
17	<p>The University Need to Add a Link in the Home Page of their University's Website for NAAC Records/ Files viz. SSR, Peer Team Report, AQAR, Certificate of NAAC and Accreditation Documents Etc.</p>

18. Guidelines for filling up Self-Study Report (SSR)

- **Extended Profile Contains** all the **Questions** which are basically the **Figurer of Denominators** of **The Formulas** used for calculation of **Various Values of Metrics**.
- **Metrics, Sub-metrics, Upload** etc. are given as **Guidance's Regarding** the sort of data required to be submitted by the University.
- **University** are **Required to** go through the **Respective Tool Tips** thoroughly before filling the data.
- The **Data** Filled should **Contextualize** with **Related Metrics**.

Upload Limit is 5 MB for the
**Documents For Various
Metrics.**

- The **Data** of the **Students Satisfaction Survey** (SSS) has to be **Submitted Concurrently** during **Online Submission** of SSR.

19. Non – Compliance of DVV Process

- **University** are given **15 Days’ Time** to **Complete** the **DVV Process**.
- **If** the **University** **Fail to Comply** with the **DVV Process**, a **Further Extension** of **7 Days** shall be **Granted** on the basis of decision from the **Competent Authority**.

The **Final Result** of the **Assessment** and **Accreditation** exercise will be an **ICT – Based Score**, which is a **Combination** of **Evaluation** of **Quantitative** and **Quantitative Metrics**.

Assessment & Accreditation of Higher Education Institutions

- The NAAC has been carrying out the process of quality assessment and accreditation of HEIs over the past over two decades. Several HEIs have gone through this process and a sizeable number has also undergone subsequent cycles of accreditation. True to its commitment for promoting quality culture in HEIs in consonance with the overall developments in the field of higher education in the outside world, NAAC has been striving to be sensitive to adequately reflect these in its processes.
- The A&A process of NAAC continues to be an exercise in partnership with the HEI being assessed. As is known by now, the revision of the A&A process of NAAC is an attempt to enhance such a partnership. Over the years, the feedback received from the HEIs and other stakeholders as well as the developments in the national scenario of HE – all have contributed in making appropriate revisions in the process so as to accelerate the process with greater rigor.

Revised Assessment and Accreditation (A&A) Framework of NAAC

The Revised Assessment and Accreditation Framework was launched in July 2017. It represents an explicit **Paradigm Shift** making it **ICT- enabled, objective, transparent, scalable and robust**. **The Shift is:**

1. From the previous **qualitative peer judgment** to **data based quantitative indicator evaluation with increased objectivity and transparency**
2. Towards **extensive use of ICT** confirming **scalability** and **robustness**.
3. In terms of simplification of the process, drastic reduction in the number of questions, **Size of the Self Study Report**, reduction in the number of peer team visit days, and such others.
4. **Boosting benchmarking as quality improvement tool**. This has been attempted through comparison of NAAC indicators with those of other international QA frameworks
5. Introducing the **System Generated Scores (SGS)** with combination of **online evaluation (about 70%)** and **peer judgment (about 30%)** .
6. **Introducing Pre-qualifier** before the peer team visit, as 25% of the SGS.
7. In introducing the element of a **third-party validation** of data.
8. In providing **appropriate differences in the metrics, weightages** and **benchmarks** between those of the universities, autonomous colleges and affiliated/constituent colleges
9. In revising several metrics to bring in **enhanced participation of students and alumni** in the assessment process

Focus of Assessment

- The NAAC continues with its **focus of assessment on quality culture** of the **institution** in terms of **Quality Initiatives**, **Quality Sustenance** and **Quality Enhancement**, as **reflected in its vision**, **organization**, **operations** and the **processes**.
- Experience has reiterated that these can be ascertained either by on site observations and/or through the facts and figures about the various aspects of institutional functioning.
- The Revised Manual places greater confidence in the latter as reflective of internal institutional processes.
- In line with NAAC's conviction that quality concerns are institutional, Quality Assessment (QA) can better be **done through self-evaluation**.
- The self-evaluation process and the subsequent preparation of the Self Study Report (SSR) to be submitted to NAAC involves the **participation of all the stakeholders** – **management**, **faculty members**, **administrative staff**, **students**, **parents**, **employers**, **community** and **alumni**.
- While the participation of internal stakeholders i.e. management, staff and students provide credibility and **ownership to the activity** and could lead to newer initiatives, interactions with the external stakeholders facilitate the development of the institution and their educational services.
- Overall, the QA is expected to serve as a **catalyst for institutional self-improvement**, **promotion of innovation** and **strengthen the urge to excel**.
- It is attempted to **enlarge the digital coverage of the entire process of A&A**. This, it is believed, will not only accelerate the process but also bring in greater objectivity into the process.
- The possible differentiation required in respect of HEIs which are going for subsequent cycles of A&A, appropriate scope has been provided in the process.
- This will allow the HEIs to appropriately represent the developments they have attempted after the previous A&A cycle.

Assessment & Accreditation Process/ Outcome

- The final Result of NAAC is ICT based score.
- This is combination of evaluation of qualitative & quantitative metrics.
- This will be compiled as a document.
- **It consists of 3 parts.**

Part I : Peer Team Report (PTR) :

Section I : General Information of the institution and its context.

Section II :

- Gives the criteria wise analysis based on peer evaluation of Qualitative indicators.
- **Do not report in bullet points**
- This will be qualitative descriptive assessment report based on the peer team's critical analysis.
- It consists of presenting the strength and weakness of HEI under each criteria.

Section III :

- Presents overall analysis which includes Institutional **strengths, weakness, opportunities and challenges.**

Section IV :

- Records recommendations for quality enhancement of the institution.
(Not more than 10 major ones)

- These will be collected through an automated procedure based on Benchmarks & Assessed on a **five point scale**, viz. (0,1,2,3 & 4).
- **The Final Grade :**
 - On the basis of CGPA obtained by the institution in a maximum possible **score of 4:00**.
 - **The final grade is assigned on a 7 point scale** as shown in table 3.
 - 07 points in the scale refer to the **7 letter grades**, each assigned to the **7 specific score ranges**.

Table 3 : Institutional Grades & Accreditation Status :

Range of Institutional cumulative grade point average (CGPA)	Letter Grade	Status
3.51 - 4.00	A++	Accredited
3.26 - 3.50	A+	Accredited
3.01 - 3.25	A	Accredited
2.76 - 3.00	B++	Accredited
2.51 - 2.75	B+	Accredited
2.01 - 2.50	B	Accredited
1.51 - 2.00	C	Accredited
< 1.50	D	Not Accredited